

10091870 051002

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

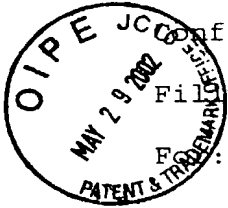
In re Patent Application of:  
**BARDWELL**

Serial No. 10/081,870

Confirmation No: 9894

Filing Date: **FEBRUARY 22, 2002**

FOR: **BIOMETRIC IDENTIFICATION SYSTEM  
USING A MAGNETIC STRIPE AND  
ASSOCIATED METHODS**



**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts  
mailed April 5, 2002, Applicants enclose the following:

1. Filing fee of \$589.00 for a small entity (fees  
calculated as shown below):

	(Col. 1)		(Col. 2)		SMALL ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE
BASIC FEE						\$ 370
TOTAL CLAIMS	35	-20	15		X 9	\$ 135
INDEP CLAIMS	5	- 3	2		X 42	\$ 84
*If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$ 589

2. Surcharge of \$65.00 for small entity.

In Re Patent Application of:

**BARDWELL**

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Filing Date: **FEBRUARY 22, 2002**

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3. Declaration and Power of Attorney.

4. Copy of "Notice to File Missing Parts of Application--Filing Date Granted" mailed April 5, 2002.

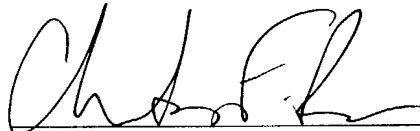
5. Transmittal of Formal Drawings.

Check in the amount of \$654.00 to cover the filing fee and surcharge is enclosed.

Applicant noted the Patent Examination Division's request to comply with the requirements of 37 C.F.R. 1.821-1.825. However, applicant does not have any nucleotide and/or amino acid sequence disclosures in their patent application. Accordingly, it is requested that such requirements be removed from this patent application.

If any additional extension and/or fee is required, or if any additional fee for claims is required, charge Account No. 01-0484.

Respectfully submitted,



CHRISTOPHER F. REGAN

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,870	02/22/2002	William E. Bardwell	59718

CONFIRMATION NO. 9894

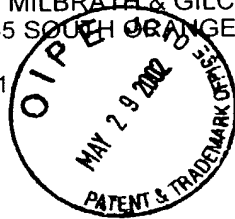
27975  
ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST P.A.  
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P.O. BOX 3791  
ORLANDO, FL 32802-3791

## FORMALITIES LETTER



\*OC000000007799630\*

Date Mailed: 04/05/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/31/2002 MBIZUNES 00000042 10081870

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	135.00 OP
04 FC:209	00.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$219.
  - \$135 for 15 total claims over 20.
  - \$84 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter
- The balance due by applicant is \$ 654.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2 5 cm (1 inch), a left side margin of at least 2 5 cm (1 inch), a right side margin of at least 1 5 cm ( 5/8 inch), and a bottom margin of at least 1 0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention

is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

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*A copy of this notice **MUST** be returned with the reply.*

*S.B*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE